



04-23-01

CPA/2700#

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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#15/CPA  
m2  
47201**CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL**

CHECK BOX, if applicable:

☒ **DUPLICATE****Submit an original, and a duplicate for fee processing.**

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

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Address to:

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231Attorney Docket No.  
of Prior Application

2541P009

First Named Inventor

Guy A. Story

Examiner Name

Y. Retta

Group/Art Unit

2162

Express Mail Label No.

EL625195661US

APR 25 2001

Technology Center 2100

This is request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d),  
(continued prosecution application (CPA)) of prior application number 09/222,336,  
filed on December 28, 1998, entitled LICENSE MANAGEMENT FOR DIGITAL CONTENT.

**NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office (Apr. 11, 2000).

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR § 1.53(d), but must be filed under 37 CFR 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR § 1.78(a).

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1. ☐ Enter the unentered amendment previously filed on under 37 CFR § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
\_\_\_\_\_  
\_\_\_\_\_
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

04/24/2001 BHABTEN 00000021 09222336

710.00 OP  
144.00 OP  
80.00 OP01 FC:131  
02 FC:103  
03 FC:102

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	28 -20* =	8	x \$ 18.00 =	\$ 144.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	4 -3** =	1	x \$ 80.00 =	80.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+\$ _____ =	
				BASIC FEE (37 CFR 1.16)	710.00
				Total of above Calculations =	\$934.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				
	* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent.			TOTAL =	

6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.

7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. \_\_\_\_\_ - 02 2666 :

a. ☒ Fees required under 37 CFR 1.16.  
b. ☒ Fees required under 37 CFR 1.17.  
c. ☒ Fees required under 37 CFR 1.18.

8. ☒ A check in the amount of \$ 934.00 is enclosed.

9. ☐ Payment by credit card. Form PTO-2038 is attached.

10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of \_\_\_\_\_ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) enclosed.


11. ☐ New Attorney Docket Number, if desired \_\_\_\_\_  
[Prior application Attorney Docket Number will carryover to this CPA unless a new Attorney Docket Number has been provided herein.]

12. a. ☐ Receipt for Facsimile Transmitted CPA (PTO/SB/29A)  
b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

13. ☒ Other: - Petition for Extension of Time of Three Months.  
- Check for \$890.00

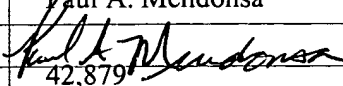
**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

**10. NEW CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number of Bar Code Label	 08791	or <input type="checkbox"/> Correspondence address
PATENT TRADEMARK OFFICE (Insert Customer No. or Attach bar code label here)		

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APR 25 2001  
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15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME (Print/Type)	Paul A. Mendonsa
SIGNATURE	
Registration No. (Attorney/Agent)	42,879
DATE	April 20, 2001

#16 / Pre And + C  
EPA - 5/9/00  
Beth - Gross

Attorney Docket No.: 002541.P009

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



re application of: )  
)  
Story, et al. )  
)  
Application No: 09/222,336 )  
)  
Filed: December 28, 1998 )  
)  
For: LICENSE MANAGEMENT FOR DIGITAL )  
CONTENT )

Examiner: Y. Retta

Art Unit: 2162

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APR 26 2001

Technology Center 2100

Box CPA  
Commissioner For Patents  
Washington, D.C. 20231

AMENDMENT

Dear Sir:

In response to the Office Action mailed October 20, 2000, please enter this amendment and consider the following remarks.

IN THE CLAIMS

84.7 1. (Three Times Amended) A method comprising:  
creating a first license having a first cardinality, wherein the cardinality indicates  
the number of playback devices that can be authorized for playback of associated digital  
audio content, the license created by a license management device;  
storing the first license in a first set of playback devices in response to a command  
from the license management device, wherein the first set of playback devices is less than  
or equal to the first cardinality;